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FORMALITIES LETTER



\*OC00000005218622\*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/556,777	04/25/2000	Akira Goda	0039-7692-2S

Oblon Spivak McClelland Maier & Neustadt PC  
1755 Jefferson Davis Highway  
Fourth Floor  
Arlington, VA 22202



Decl 9-3-00

Date Mailed: 07/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

JUL 06 2000

OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, P.C.

43  
Docket No. 0039-7692-2S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Akira GODA, et al.

SERIAL NO.: 09/556,777

FILED: April 25, 2000

FOR: NONVOLATILE SEMICONDUCTOR  
MEMORY DEVICE AND METHOD...



ATTN: APPLICATION PROCESSING  
DIVISION

FILING OF DECLARATION UNDER 37 CFR 1.53(F)

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Responsive to the notification dated July 3, 2000, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P. C.

A handwritten signature in cursive script, appearing to read "Marvin J. Spivak".

Marvin J. Spivak  
Attorney of Record  
Registration No. 24,913



**22850**

(703) 413-3000/ Fax No. (703) 413-2220  
(OSMMN 1/97)  
MJS:ctp

Surinder Sachar  
Registration No. 34,423

**OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.**

ATTORNEYS AT LAW

FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VIRGINIA 22202 U.S.A.

(703) 413-3000

(703) 413-2220 FACSIMILE

OBLONPAT@OBLON.COM

WWW.OBLON.COM

PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION



DOCKET NO: 0039-7692-2S

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Akira GODA, et al.  
Serial No.: 09/556,777  
Filed: April 25, 2000  
For: NONVOLATILE SEMICONDUCTOR MEMORY  
DEVICE AND METHOD...

SIR:

Attached hereto for filing are the following papers:

**Notice to File Missing Parts of Nonprovisional  
Filing of Declaration/Declaration**

Our check in the amount of \$    .00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Surinder Sachar*  
Marvin J. Spivak  
Attorney of Record  
Registration No. 24,913

Surinder Sachar  
Registration No. 34,423



22850

(703) 413-3000/ Fax No. (703) 413-2220

NORMAN F. OBLON  
MARVIN J. SPIVAK  
C. IRVIN McCLELLAND  
GREGORY J. MAIER  
ARTHUR I. NEUSTADT  
RICHARD D. KELLY  
JAMES D. HAMILTON  
ECKHARD H. KUESTERS  
ROBERT T. POUS  
DAVID J. KERA  
CHARLES L. GHOLZ  
WILLIAM E. BEAUMONT  
JEAN-PAUL LAVALLEYE, PH.D.  
JEFFREY H. KAUFMAN  
BRIAN D. ANDERSON  
ROBERTA S. BREN  
STEPHEN G. BAXTER, PH.D.  
RICHARD L. TREANOR, PH.D.  
STEVEN P. WEIHROUCH  
JOHN T. GOOLKASIAN\*  
RICHARD L. CHINN, PH.D.  
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P. JAY HINES  
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J. DEREK MASON, PH.D.  
SURINDER SACHAR  
JONATHAN HUDIS  
JEFFREY B. MCINTYRE\*  
WILLIAM T. ENOS\*  
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BRADLEY D. LYTLE  
MICHAEL R. CASEY, PH.D.

GERALD J. MOSSINGHOFF  
MILTON STERMAN  
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ALTON D. ROLDS  
JAMES R. BOLER\*  
HARRIS A. PITLICK\*  
RAYMOND F. CARDILLO, JR.  
ROBERT W. HAHN, PH.D.  
NEAL GOLDFARB  
BRIAN B. DARVILLE

MASAYASU MORI\*

FRANK J. WEST\*  
KATHLEEN COONEY-PORTER\*  
ANDREW M. OLLIS  
MARGO LIVESAY, PH.D.\*  
CORWIN P. UMBACH, PH.D.  
RON MYERS\*  
W. TODD BAKER\*  
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JOHN K. PIKE, PH.D.\*  
JAMES J. KELLY, PH.D.\*  
DAVID A. BLOODEAU\*  
STAMATIOS MYLONAKIS, PH.D.\*  
PHILIPPE J.C. SIGNORE, PH.D.\*  
KEVIN A. NORDBERG\*  
THOMAS A. BLINKA, PH.D.\*  
DANIEL J. PEREIRA, PH.D.\*  
RONALD A. RUDDER, PH.D.\*  
JOHN F. T. CONROY, PH.D.\*

\* BAR MEMBERSHIP OTHER  
THAN VIRGINIA  
\* JAPANESE PATENT ATTORNEY  
\* REGISTERED PATENT AGENT

#13  
Docket No. 0039-7692-2S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Akira GODA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING  
THE SAME

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	18 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$78 =	\$78.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$690.00
TOTAL OF ABOVE CALCULATIONS				\$898.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$898.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$898.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland  
Registration Number 21,124

Date: 4/25/00

Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 11/98)